

Image

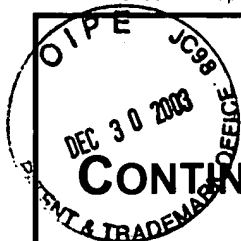
PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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RCE #



REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/821,024
Filing Date	March 30, 2001
First Named Inventor	Toshihiko TSUJI
Group Art Unit	2851
Examiner Name	R. Fuller
Attorney Docket Number	00684.003159

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.312 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)
- iv. ☒ Other: Letter Transmitting Corrected Formal Drawing
- 01/02/2004 NDANTE1 00000013 09821024
01 FC:1801 770.00 OP

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge any deficiencies in the following fees, or credit any overpayments, to Deposit Account No. 06-1205
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☒ A check in the amount of \$1,236.00 is enclosed including the RCE filing fee (\$770.00) and the additional claims fees (\$466.00).
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Jack S. Cubert	Registration No. (Attorney/Agent)	24,245
Signature		Date	December 30, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any fee on the amount of the fee should be sent to the Chief Information Officer, Director of the U.S. P.T.O., P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES and Completed Forms to the following address: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

00684.003159

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Toshihiko TSUJI

Application No.: 09/821,024

Filed: March 30, 2001

For: ILLUMINATION OPTICAL SYSTEM IN
EXPOSURE APPARATUS

)

:

Examiner: R. Fuller

)

:

Group Art Unit: 2851

)

:

Confirmation No.: 5544

)

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Allowed: October 2, 2003

)

:

December 30, 2003

Mail Stop RCE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

☐

No additional fee is required.

The fee has been calculated as shown below:

CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	22	MINUS	20	= 2	x \$9 \$18	\$36.00
INDEP. CLAIMS	8	MINUS	3	= 5	x \$43 \$86	\$430.00
Fee for Multiple Dependent claims \$145/\$290						\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$466.00

☐

°Verified Statement claiming small entity status is enclosed, if not filed previously.



A check in the amount of \$1,236.00 is enclosed including the RCE filing fee (\$770.00) and the additional claims fees (\$466.00).



Charge \$____ to Deposit Account No. 06-1205. A duplicate of this sheet is enclosed.



Any prior general authorization to charge an issue fee under 37 CFR 1.18 to Deposit Account No. 06-1205 is hereby revoked. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 06-1205. A duplicate of this paper is enclosed.



A check in the amount of \$_____ to cover the fee for a two month extension is enclosed.



A check in the amount of \$____ to cover the Information Disclosure Statement fee is enclosed.



Applicant's attorney, Steven E. Warner, may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should be directed to our address given below.

Respectfully submitted,



Attorney for Applicant

Jack S. Cubert

Registration No. 24,245

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JSC/eab

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00684.003159



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
	:	Examiner: R. Fuller
Toshihiko TSUJI)	
	:	Group Art Unit: 2851
Application No.: 09/821,024)	
	:	Confirmation No.: 5544
Filed: March 30, 2001)	
	:	Allowed: October 2, 2003
For: ILLUMINATION OPTICAL SYSTEM IN)	
EXPOSURE APPARATUS	:	December 30, 2003

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

Prior to further examination on the merits, please amend the above-identified application
as follows:

01/02/2004 MDANTE1 00000013 09821024

02 FC:1202	36.00 OP
03 FC:1201	430.00 OP